

In June 1861 Harper's Weekly published this wood engraving of northern women sewing havelocks (cloth covers for hats, each having a flap to protect the back of the neck) for northern soldiers. Such volunteer efforts were just one of the changes that came to women's lives during the war. Addison Gallery of American Art.

THE STRANGE ADVENT OF EMANCIPATION

At the highest levels of government there was a similar lack of clarity about the purpose of the war. Both Davis and Lincoln studiously avoided references to slavery, the crux of the matter, throughout the first several months of the struggle. Davis realized that emphasis on the issue could increase class conflict in the South. To avoid identifying the Confederacy only with the interests of slaveholders, he articulated a broader, traditional ideology. Davis told southerners they were fighting for constitutional liberty: northerners had betrayed the founding fathers' legacy, and southerners seceded to preserve it. As long as Lincoln also avoided making slavery an issue, Davis's line seemed to work.

Lincoln had his own reasons for not mentioning slavery. It was crucial at first not to antagonize the border slave states, whose loyalty to the Union was tenuous. For many months Lincoln also hoped that a pro-Union majority would assert itself in the South. It might be possible, he thought, to coax the South back into the Union and stop the fighting. Raising the slavery issue would severely undermine both goals.

Powerful political considerations also dictated that Lincoln remain silent. The Republican party was a young and unwieldy coalition. Some Republicans burned with moral outrage over slavery; others were frankly racist, dedicated to protecting free whites from the Slave Power and the competition of cheap slave labor; still others saw the tariff or immigration or some other issue as paramount. A forthright stand by Lincoln on the subject of slav-

ery could split the party, gratifying some groups and alienating others. Until a consensus developed or Lincoln found a way to appeal to all the elements of the party, silence was the best approach.

The president's hesitancy ran counter to some of his personal feelings. Lincoln was a sensitive and compassionate man whose humility and moral anguish during the war were evident in his speeches and writings. But as a politician, Lincoln distinguished between his own moral convictions and his official acts. As a result, his political positions were studied and complex, calculated for maximum advantage. Frederick Douglass, the astute and courageous black protest leader, sensed that Lincoln was without prejudice toward black people. Yet Douglass judged him "pre-eminently the white man's president."

Lincoln first broached the subject of slavery in a substantive way in March 1862, when he proposed that the states consider emancipation on

Lincoln's Plan for Gradual Emancipation

their own. He asked Congress to pass a resolution promising aid to any state that decided to emancipate, and he appealed to border-state representatives to give serious thought to

emancipation. What Lincoln proposed was gradual emancipation, with compensation for slaveholders and colonization of the freed slaves outside the United States. To a delegation of free blacks he explained that "it is better for us both . . . to be separated." Until well into 1864 Lincoln steadfastly promoted an unpromising and wholly impractical scheme to colonize blacks in some region like Central America. Despite Secretary of State William H. Seward's care to insert such phrases as "with their consent," the word deportation crept into one of Lincoln's speeches in place of colonization. Thus his was as conservative a scheme as could be devised. Moreover, since the states would make the decision voluntarily, no responsibility for it would attach to Lincoln.

Others wanted to go much further. A group of Republicans in Congress, known as the Radicals and led by such men as George Julian, Charles Sumner, and Thaddeus Stevens, dedicated themselves to seeing that the war was prosecuted vigorously. They were instrumental in creating a special House-Senate committee on the conduct of the war, which investigated Union reverses, sought to make the war effort more efficient, and prodded the president to take stronger measures. Early in the war these Radicals, with support from other representatives, turned their attention to slavery.

In August 1861, at the Radicals' instigation, Congress passed its first confiscation act. Designed to punish the Confederate rebels, the law confis-

Confiscation Acts

cated all property used for "insurrectionary purposes"-that is, if the South used slaves in a hostile action, those slaves were declared seized and liber-

ated. A second confiscation act (July 1862) was much more drastic: it confiscated the property of all those who supported the rebellion, even those who merely resided in the South and paid Confederate taxes. Their slaves were declared "forever free of their servitude, and not again [to be] held as slaves." The logic behind these acts was that the insurrection—as Lincoln always termed it—was a serious revolution requiring strong measures. Let the government use its full powers, free the slaves, and crush the revolution, urged the Radicals.

Lincoln would not go that far. He stood by his proposal of voluntary gradual emancipation by the states and made no effort to enforce the second confiscation act. His stance provoked a public protest from Horace Greeley, editor of the powerful New York Tribune. In an open letter to the president entitled "The Prayer of Twenty Millions," Greeley pleaded with Lincoln to "execute the laws" and declared, "On the face of this wide earth, Mr. President, there is not one disinterested, determined, intelligent champion of the Union cause who does not feel that all attempts to put down the Rebellion and at the same time uphold its inciting cause are preposterous and futile."

Lincoln's letter in reply was an explicit statement of his complex and calculated approach to the question. He disagreed, he said, with all those who would make the maintenance or destruction of slavery the paramount issue of the war. "I would save the Union," announced Lincoln. "If I could save the Union without freeing any slave I would do it, and if I could save it by freeing all the slaves I would do it; and if I could save it by freeing some and leaving others alone I would also do that. What I do about slavery, and the colored race, I do because I believe it helps to save the Union." Lincoln closed with a personal disclaimer: "I have here stated my purpose according to my view of official duty; and I intend no modification of my offexpressed *personal* wish that all men every where could be free."

When he wrote these words, Lincoln had already decided on a new step: issuance of the Emancipation Proclamation. On the advice of the cabinet, however, he was waiting for a Union victory before announcing the proclamation, so that it would not appear to be an act of desperation. Yet the letter to Greeley was not simply an effort to stall; it was an integral part of Lincoln's approach to the future of slavery, as the text of the Emancipation Proclamation would show.

On September 22, 1862, shortly after the Battle of Antietam, Lincoln issued the first (and often forgotten) part of his two-part proclamation. In-

Emancipation Proclamations voking his powers as commander-in-chief of the armed forces, he announced that on January 1, 1863, he would emancipate the slaves in states

whose people "shall then be in rebellion against the United States." Lincoln made plain that he would judge a state to be in rebellion in January if it lacked bona fide representatives in Congress.

Thus, his September proclamation was less a declaration of the right of slaves to be free than a threat to southerners. Unless they stopped fighting and returned to Congress, they would lose their slaves. "Knowing the value that was set on the slaves by the rebels," said Garrison Frazier, a black Georgian, "the President thought that his proclamation would stimulate them to lay down their arms... and their not doing so has now made the freedom of the slaves a part of the war." Lincoln may not actually have expected southerners to give up their effort, but he was careful to offer them the option, thus putting the onus of emancipation on them.

When Lincoln designated the areas in rebellion on January 1, he excepted from his list every Confederate county or city that had fallen under Union control. Those areas, he declared, "are, for the present, left precisely as if this proclamation were not issued." Nor did Lincoln liberate slaves in the border slave states that remained in the Union. "The President has purposely made the proclamation inoperative in all places where . . . the slaves [are] accessible," charged the anti-administration New York World. "He has proclaimed emancipation only where he has notoriously no power to execute it." The exceptions, said the paper, "render the

proclamation not merely futile, but ridiculous." Partisanship aside, even Secretary of State Seward, a moderate Republican, said sarcastically, "We show our sympathy with slavery by emancipating slaves where we cannot reach them and holding them in bondage where we can set them free." A British official, Lord Russell, commented on the "very strange nature" of the document, noting that it did not declare "a principle adverse to slavery."

By making the liberation of the slaves "a fit and necessary war measure," furthermore, Lincoln raised a variety of legal questions. How long did a war measure remain in force? Did it expire with the suppression of a rebellion? The proclamation did little to clarify the status or citizenship of the freed slaves. And a reference to garrison duty in one of the closing paragraphs suggested that former slaves would have inferior duties and rank in the army. For many months, in fact, their pay and treatment were inferior.

Thus the Emancipation Proclamation was a puzzling and ambiguous document that said less than it seemed to say. It freed no slaves, and serious limitations were embedded in its language. But if as a moral and legal document it was wanting, as a political document it was nearly flawless. Because the proclamation defined the war as a war against slavery, radicals could applaud it, even if the president had not gone as far as Congress. Yet at the same time it protected Lincoln's position with conservatives, leaving him room to retreat if he chose and forcing no immediate changes on the border slave states.

The need for men soon convinced the administration to recruit northern and southern blacks for the army. By spring 1863, African-American troops were proving their value. Lincoln came to see them as "the great available and yet unavailed of force for restoring the Union." African-American leaders hoped that military service would secure equal rights for their people. Once the black soldier had fought for the Union, wrote Frederick Douglass, "there is no power on earth which can deny that he has earned the right of citizenship in the United States." If black soldiers turned the tide, asked another man, "would the nation refuse us our rights?"

In June 1864, Lincoln gave his support to a constitutional ban on slavery. Reformers such as Elizabeth Cady Stanton and Susan B. Anthony were pressing for an amendment that would write



This wood engraving from Frank Leslie's Illustrated depicts the various duties performed by African-Americans in the U.S. Army. Confined at first to labor details and supporting roles, black soldiers were especially glad when they received opportunities to prove their courage and manhood in battle. Archives and Special Collections, Shadek-Fackenthal Library, Franklin and Marshall College.

emancipation into the Constitution. On the eve of the Republican national convention, Lincoln called the party's chairman to the White House and instructed him to have the party "put into the platform as the keystone, the amendment of the Constitution abolishing and prohibiting slavery forever." The party promptly called for a new amendment, the thirteenth. Republican delegates probably would have adopted such a plank without his urging, but Lincoln demonstrated his commitment by lobbying Congress for quick approval of the measure. The proposed amendment passed and was sent to the states for ratification or rejection. Lincoln's strong support for the Thirteenth Amendment—an unequivocal prohibition of slavery-constitutes his best claim to the title Great Emancipator.

Yet Lincoln soon clouded that clear stand, for in 1865 the newly re-elected president considered allowing the defeated southern states to re-enter

Hampton Roads Conference

the Union and delay or defeat the amendment. In February he and Secretary of State Seward met with three Confederate commissioners at Hampton Roads, Virginia. The end of

the war was clearly in sight, and southern representatives angled vainly for an armistice that would allow the South to remain a separate nation. But Lincoln was doing some political maneuvering of his own, apparently contemplating the creation of a new national party based on a postwar alliance with southern Whigs and moderate and conservative Republicans. The cement for the coalition would be concessions to planter interests.

Pointing out that the Emancipation Proclamation was only a war measure, Lincoln predicted that the courts would decide whether it had granted all, some, or none of the slaves their freedom. Seward observed that the Thirteenth Amendment, which would be definitive, was not yet ratified; re-entry into the Union would allow the southern states to defeat it. Lincoln did not contradict Seward but spoke in favor of "prospective" ratification: approval with the effective date postponed for five years. He also promised to seek \$400 million in compensation for slaveholders and to consider their views on such related questions as confiscation. Such financial aid would provide an economic incentive for planters to rejoin the Union and capital to cushion the economic blow of emancipation.

These were startling propositions from a president on the verge of military victory. Most northerners opposed them, and only the opposition of Jefferson Davis, who set himself against anything short of independence, prevented discussion of the proposals in the South. Even at the end of the war, Lincoln was clearly keeping his options open and maintaining the distinction he had drawn between "official duty" and "personal wish." Contrary to legend, then, Lincoln did not attempt to mold public opinion on race, as did advocates of equality in one direction and racist Democrats in the other. Instead he moved cautiously, constructing complex and ambiguous positions and avoiding the risks inherent in challenging, educating, or inspiring the nation's conscience.

Before the war was over, the Confederacy, too, addressed the issue of emancipation. Jefferson Davis himself offered a strong proposal in favor of

Davis's Plan for Emancipation liberation. Though emancipation was far less popular in the South than in the North, Davis did not flinch or conceal his purpose. He was dedicated to

independence, and he was willing to sacrifice slavery to achieve that goal. After considering the alternatives for some time, Davis concluded late in 1864 that the military situation of the Confederacy was desperate and that independence with emancipation was preferable to defeat with emancipation. He proposed that the Confederate government purchase 40,000 slaves to work for the army as laborers, with a promise of freedom at the end of their service. Soon Davis upgraded his proposal, calling for the recruitment and arming of slaves as soldiers, who likewise would gain their freedom at the end of the war. The wives and children of these soldiers, he made plain, must also receive freedom from the states. Davis and his advisers did not fa-

vor full equality—they envisioned "an intermediate state of serfage or peonage." Thus they shared with Lincoln and their entire generation racial attitudes that blinded them to the massive changes taking place.

Still, Davis had proposed a radical change for the slaveholding South. Bitter debate resounded through the Confederacy, but Davis stood his ground. When the Confederate congress approved slave enlistments without the promise of freedom, Davis insisted on more. He issued an executive order to guarantee that owners would emancipate slave soldiers, and his allies in the states started to work for emancipation of the soldiers' families. Some black troops had started to drill as the end of the war approached.

Confederate emancipation began too late to revive southern armies or win diplomatic advantages with antislavery Europeans. By contrast, Lincoln's Emancipation Proclamation stimulated a vital infusion of forces into the Union armies. Beginning in 1863 slaves shouldered arms for the North. Before the war was over, 134,000 slaves (and 52,000 free African-Americans) had fought for freedom and the Union. Their participation was crucial to northern victory, and it discouraged recognition of the Confederacy by foreign governments. Lincoln's policy, whatever its limitations and lack of clarity, had profound practical effects.